

New standards on the horizon: PDF 2.0 and PDF/X-6

**Dietrich von Seggern, Olaf Drümmer
Managing Directors, callas software**

Topics

- **PDF 2.0**
- **PDF 2.0 in pdfToolbox 9.4**
- **PDF/X-6**

PDF 2.0 - ISO 32000-2

- **Developed in almost 10 years**
- **Almost 1000 pages**
- **First instance of PDF as a true ISO document**
 - **ISO 32000-1 was more or less identical to PDF 1.7**
 - **Democratic ISO processes**
 - **Adobe was involved as one of many contributors**
- **First instance of a PDF specification that is not free, e.g. ISO charges 198 CHF**
 - **Selling standards finances the administrative ISO organization (experts are unpaid)**
 - **The price the community has to pay for owning the standard?**

Summary: PDF 2.0 - ISO 32000-2

- **No revolutions, no completely new USPs or features**
- **Many chapters have been completely rewritten, many clarifications and more clear regulations**
- **Some deprecations**
- **ISO has started work on PDF 2.0 based standards:**

PDF/X PDF/E

PDF/A PDF/UA

PDF 2.0 will not break most PDF 1.x workflows

- All new features in PDF 2.0 are optional
- PDF has always been open for extensions and private data, PDF 1.x tools will usually ignore PDF 2.0 features
- The most dangerous new “feature” is the version entry in the PDF header: %PDF-2.0
 - Simple test with examples e.g. from PDF Association:
<https://www.pdfa.org/pdf-2-0-examples-now-available/>
- PDF 2.0 will create problems when new features are expected to be used in workflows...

Print developments having an impact on PDF 2.0

- Automation and industrialization
 - Smaller print runs, individualization, price pressure
- Color Management for spot colors
 - on an increasing number of different substrates
 - used as process color (e.g. the German “Post-Gelb” used as Yellow ink)

DPart Metadata for PDF pages

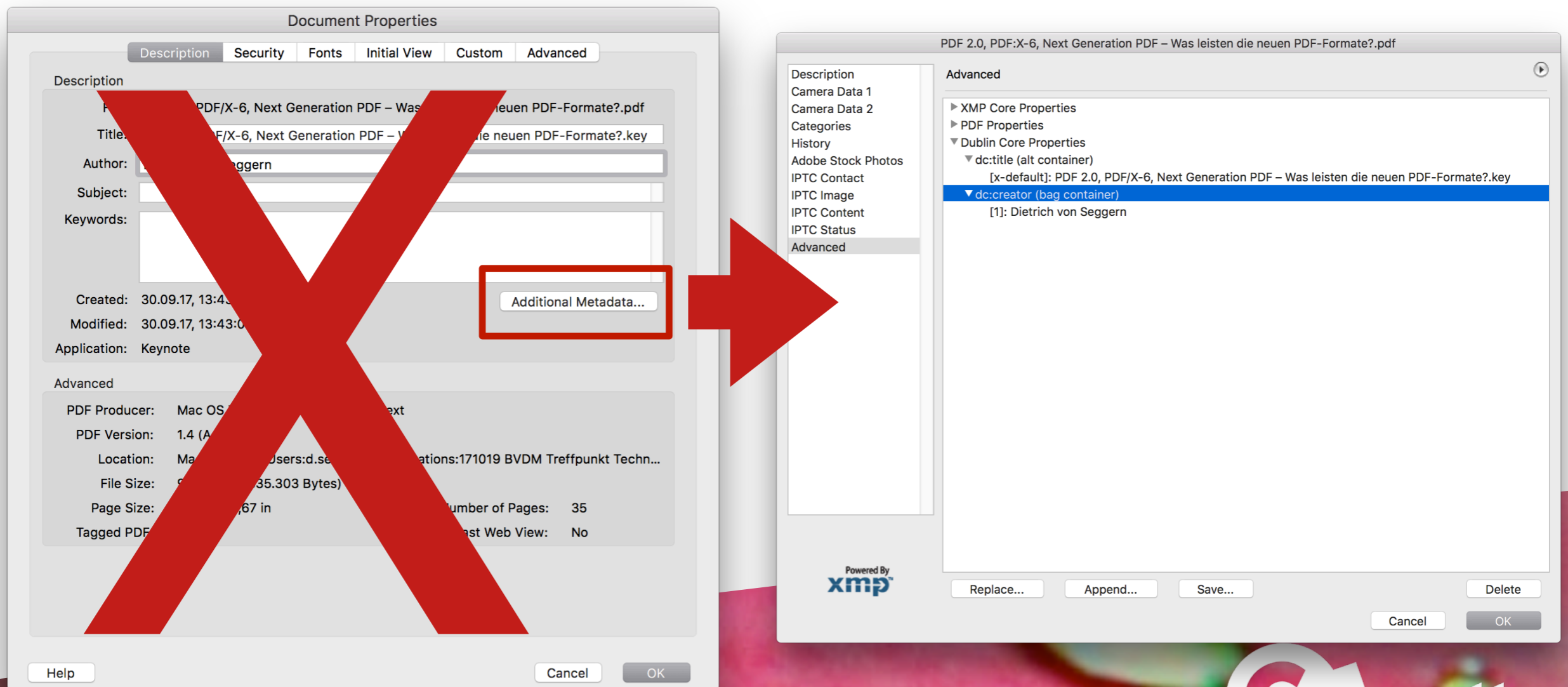
- **Document Parts**
(Source: PDF/VT - Variable and Transactional Printing)
- **Examples**
 - Associating PDF pages with ZIP code areas allows for sorted print output of high volume PDFs (e.g. invoices)
 - Marking PDF pages for different print processes, e.g. a 4c cover with b/w inner part pages (important also for digital print click fees)
- **Organized as a tree structure in PDF,**
easier to manage pages and page ranges
- **PDF 2.0 does, however, only define syntax, no semantics**
(neither standard fields nor standard values)
No real interoperability possible...

ISO is working on “Common document metadata”

- **ISO 21812-1 will define standardized metadata fields intended to be used in PDF in DPart structures**
- **Names and semantics are based on work of**
 - **CIP4 (XJDF)**
 - **Ghent Workgroup**
 - **PDF/VT Competence Center of the PDF Association**
- **Working Draft - Published not before 2019**

Document Information Dictionary

- **Deprecated**
- **The one format and place for metadata is XMP**



Page based Output Intents

- **What are Output Intents?**
 - **Intended color space for printing (via an ICC Profile)**
 - **Default color space for objects created during transparency flattening**
- **PDF 2.0 allows for having different Output Intent profiles for PDF pages**
 - **Example: 4c cover with b/w inner part pages**
 - **Page based Output Intents overwrite Output Intents on document level**

Print developments having an impact on PDF 2.0

- Automation and industrialization

- Smaller print runs, individualization, price pressure

- Color Management for spot colors

- on an increasing number of different substrates
- used as process color (e.g. the German “Post-Gelb” used as Yellow ink)

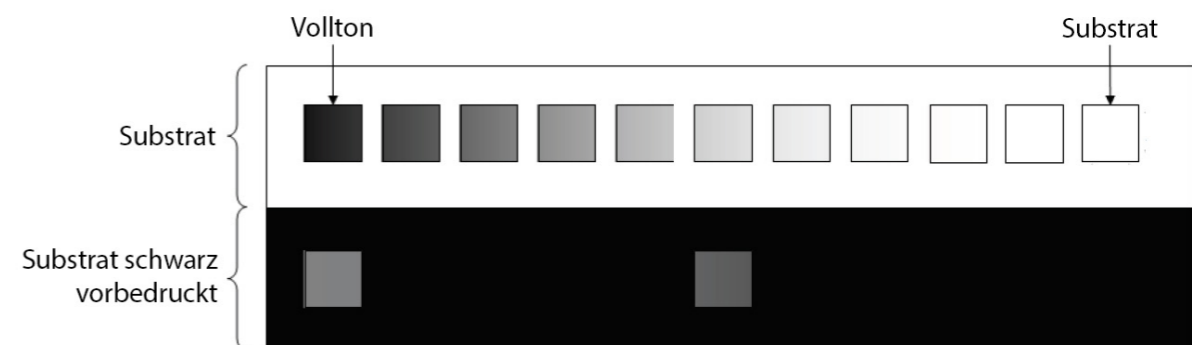
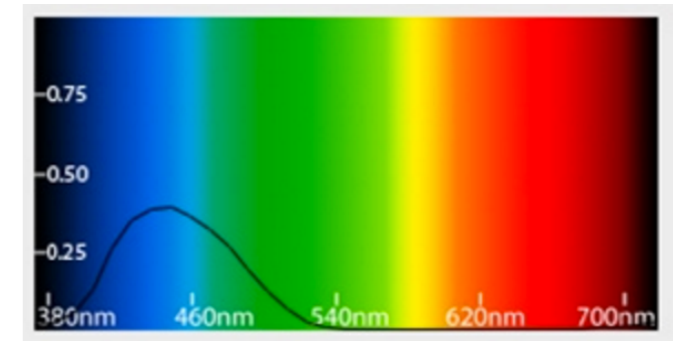


Black Point Compensation

- **Takes max black into account for ICC based color conversion (standardized in ISO 18619)**
- **Usually better results and as of today usually active**
- **If not appropriate for certain objects there was so far no way to embed that information into PDF (similar to a source ICC profile)**
- **This has been changed by PDF 2.0**

CxF and Mixing Hints (in Output Intent Dictionaries)

- **Mixing Hints:** Hints to mix spot colors, in PDF 1.x only in NChannel colors
- **CxF (ISO 17972-4):** Spectral data for spot colors (XML)
 - On blank substrate
 - Optional on black preprinted substrate
 - No standard for application of measurements, proprietary solutions



Screening

- **New entries for**
 - **Arbitrary spot functions**
 - **Origin of halftone screen**
- **Similar to entries defined in PDF 1.0, now open and better interoperable**



Requirements array

- **Optional document level array may define features used by the PDF**
- **Already defined in PDF 1.7 only for “Enable JavaScripts”**
- **In PDF 2.0 a total of 25 possible entries (e.g. Rich Media, Attachment, ...)**

Preparations to when PDF 2.0 features become relevant

1. **Now: Ask vendors of tools about their plans to support PDF 2.0**
 2. **Test existing workflow with `PDF 2.0 Examples pdfa.org`**
-
3. **Preflight incoming PDFs for 2.0 features**
 4. **Convert: Plan conversion of PDF 2.0 features to PDF 1.7 where possible (e.g. extract DPart Metadata)**
 5. **Adjust workflows: Usually starting at the end (DFE, Rip), to avoid using PDF 2.0 features when subsequent workflow steps are not prepared**

Deadline? E.g. when PDF/X-6 will be published...

Topics









- **PDF 2.0**
- **PDF 2.0 in pdfToolbox 9.4**
- **PDF/X-6**

pdfToolbox 9.4:

“Uses PDF 2.0 features”

- Profile can be downloaded from help.callassoftware.com/hilfe.callassoftware.com
- Covers all relevant PDF 2.0 features
 - DPart metadata
 - Page based Output Intents
 - Blackpoint Compensation
 - CxF
 - Mixing Hints
 - Halftone configuration
 - Requirements array

Custom checks in this profile:

- ✗  Has Black Point Compensation entry
 - ✗  Has halftone origin entry (HTO)
 - ✗  Has page level Output Intent
 - ✗  Requirements array in Catalog requires PDF 2.0
- The Requirements entry in the Catalog may indicate which requirements a PDF file has. Up until PDF 2.0 the only possible entry in Requirements was "EnableJavaScripts". In 2.0 there are many new types e.g. PRC, Attachment or DPartInteract.
- ✗  Version entry in the PDF header is 2.0 or newer
 - ✗  Catalog contains DPartRoot entry
 - ✗  Has CxF/X-4 information
 - ✗  Output Intent has Mixing Hints entry

Profiles, Checks and Fixups for DPart metadata

- **Several properties (in the PDF/VT group)**
- **pdfToolbox can check for the validity of DPart metadata tree structures**
- **The PDF/VT validation Profile can be used to do so**

Unlocked Name: Verify compliance with PDF/VT-1

Custom checks in this profile:

Q dpart

- ✗ DPart child not referenced by any parent DPart
The PDF/VT-1 ISO standard requires that each DPart child is referenced by one DPart parent directory.
- ✗ DPart child referenced by more than one parent DPart
- ✗ DPart has both DParts and Start entry
- ✗ DPart has End entry but no Start entry
- ✗ DPart has incorrect Parent entry
- ✗ DPart has incorrect Type entry
- ✗ DPart has neither DParts nor Start entry
- ✗ DPartRoot dictionary missing or incorrect
- ✗ DPartRoot entry missing
- ✗ DPM key not unique within DPart dictionary
- ✗ NodeNameList missing in DPartRoot
- ✗ Number of nodes in NodeNameList does not match DPart level de
- ✗ Page has no reference to a DPart leaf node
- ✗ Page has no reference to a valid DPart leaf node
- ✗ Page is not referenced from any DPart entry
- ✗ Page is referenced more than once from DPart entries
- ✗ Page order in PDF and in DPart structure are not identical
- ✗ Page references a wrong DPart leaf node
- ✗ Parent entry missing in DPart entry
- ✗ Reference to DPartRootNode missing
- ✗ Type of DPartRoot dictionary incorrect

Checks and Fixups for page based Output Intents

- “Has page level Output Intent”
- “Embed Output Intent on page level”
 - Usually combined with a filter to identify pages
 - Uses an Output Intent created with pdfToolbox
- “Embed Output Intent with specified parameters on page level”
 - Uses an ICC Profile and specified values
- “Remove page level Output Intents”
- Result view and footer info in Desktop list page level Output Intents

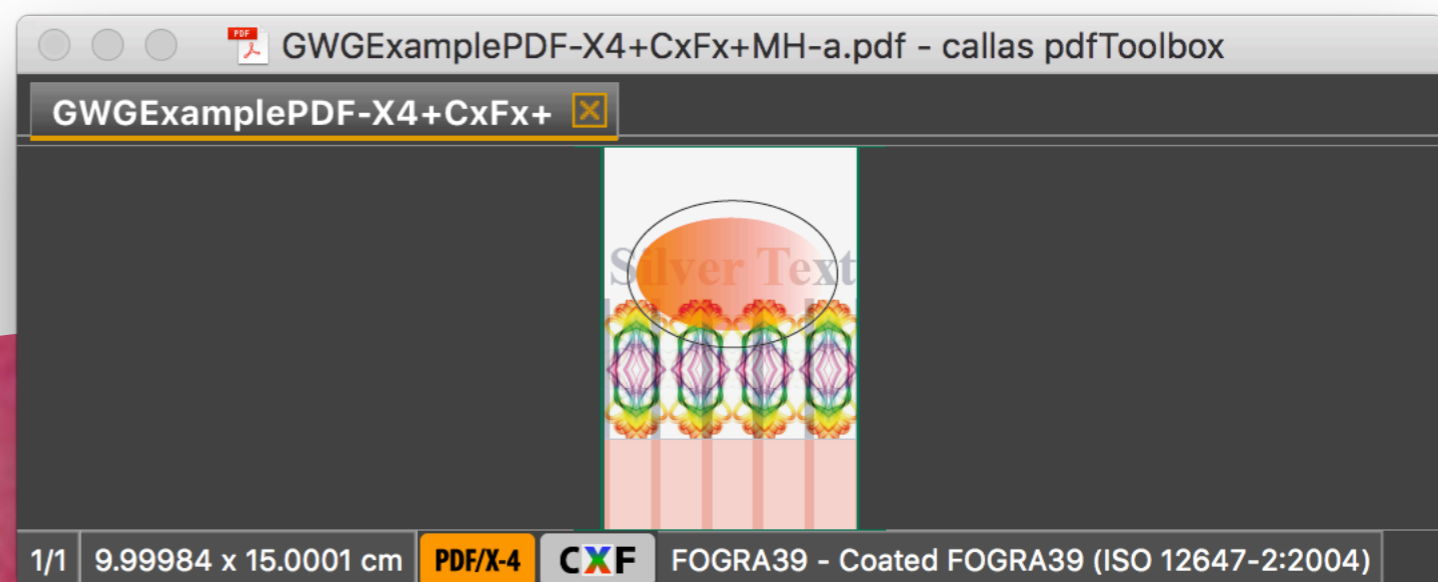


Checks and Fixups for Black Point Compensation entry

- **“Has Black Point Compensation entry”**
- **“Value of Black Point Compensation entry”**
 - Value can be Default, On or Off
 - If Default the result is application dependent
- **“Remove all Black Point Compensation entries”**
- **“Set Black Point Compensation”** -
usually combined with a filter

Checks and Fixups for CxF entries in Output Intent (since V9)

- “Number of CxF entries”
- “CxF conformance level is CxF/X-4, CxF/X-4a, CxF/X-4b”
- “CxF entry conforms to CxF/X-4 XML schema”
- “CxF entry present for this colorant name”
- “Number of spot colorants without CxF entry”
- “Delete CxF data” - Removes all CxF entries from PDF/X Output Intents
- CxF info displayed via info button in Desktop



Checks and Fixups for Mixing Hints entries in Output Intent

- **“Output Intent has Mixing Hints entry”**
 - In Output Intent for PDF/X, PDF/A, PDF/E
- **“Spot color is present in CxF and in MixingHints/Solidities”**
 - Not permitted in CxF/X-4 (ISO 17972-4)

Checks and Fixups for Halftone configuration

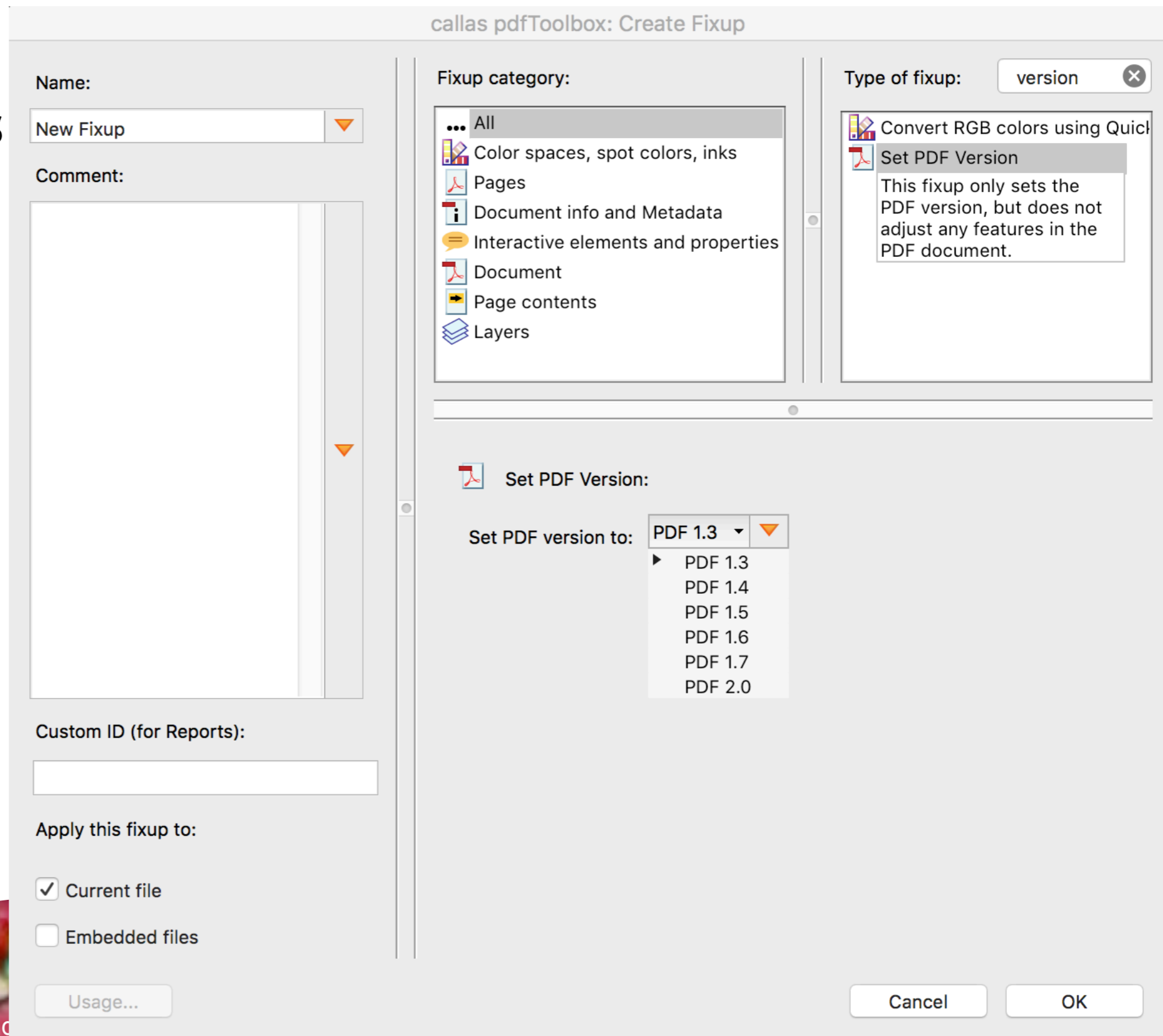
- **“Has halftone origin entry (HTO)”**
- **“Has halftone”**
- **Several other checks in group “Halftone”**

Requirements array

- **“Requirements key is present”**
- **“Requirements array in Catalog requires PDF 2.0”**

Fixup “Set PDF version”

- Now supports “PDF 2.0”



Topics

- **PDF 2.0**
- **PDF 2.0 in pdfToolbox 9.4**
- **PDF/X-6**

PDF/X-6, ISO15930-9

Features

- **Inherited from PDF 2.0**
 - **DPart metadata**
 - **Page based Output Intents**
 - **Blackpoint Compensation**
 - **CxF, Mixing Hints**
 - **Halftone configuration**
- **PDF comments are allowed inside print area, when an appearance is defined that follows PDF/X-6 rules**

PDF/X-6 - Current state

- **“Working Draft”**
 - **Publication expected for first half of 2019**
- **Structure**
 - **PDF/X-6 – Complete exchange (usually CMYK)**
 - **PDF/X-6p – Referenced Output Intents
(Successor of PDF/X-4p)**
 - **PDF/X-6n – N-channel color spaces (e.g. CMYKOGV)
(Successor of PDF/X-5n)**

Summary

- **PDF 2.0 : 2017**
 - **New features address trends like automation or advanced color management for spot colors**
 - **pdfToolbox 9.4 support checking, removing, converting and adding of PDF 2.0 features**
- **PDF/X-6 : 2019?**
 - **PDF 2.0 features will have to be mastered**

**Thats all about PDF 2.0,
Thanks.**

**New standards on the horizon:
PDF 2.0 and PDF/X-6**

Dietrich von Seggern, Olaf Drümmer